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1 **Anodized titanium oxide thickness estimation with**  
2 **ellipsometry, reflectance spectra extrema positions and**  
3 **electronic imaging: importance of the interfaces**  
4 **electromagnetic phase-shift**

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11 **Abstract**

12 *The oxide thickness of anodized titanium samples has been determined through ellipsometry,*  
13 *reflectance spectra extrema positions and electronic imaging. The reflectance spectra extrema*  
14 *position technique is applicable in the case where the oxide layer is thin enough to generate an*  
15 *interference phenomenon inside the oxide layer. When reflected at the air/oxide and oxide/metal*  
16 *interfaces, the electromagnetic field undergoes a phase-shift, which is often neglected in the*  
17 *literature. By comparing the oxide thickness obtained through the different techniques, it is shown*  
18 *that this phase-shift isn't negligible for thin oxide layers. The relative error on the oxide thickness is*  
19 *for example of about 50% for a 17 nm thick oxide layer. By studying the discrepancy observed in the*  
20 *literature for the titanium and oxide layer refractive indexes, which is of about 13% in the*  
21 *wavelength range [350 nm – 600 nm], the error induced when neglecting the electromagnetic phase-*  
22 *shift is higher than the error induced by the uncertainty on the refractive indexes for oxide*  
23 *thicknesses below about 50 nm.*

24

25 **Keywords:** titanium anodizing, oxide thickness determination, interference phenomenon,  
26 ellipsometry, Focused Ion Beam lamellae, reflectance spectrum, electromagnetic phase-shift

## 27 **1. Introduction**

28 Oxidized titanium, that is titanium on which the natural oxide layer has been grown artificially,  
29 can exhibit photocatalytic properties [1,2]. It is also highly resistive to corrosion [3,4] and  
30 biocompatible [5–7]. Under certain conditions, oxidized titanium may have an interferential  
31 coloration [8]. Both its biocompatibility and coloration properties make oxidized titanium a  
32 promising material to improve the esthetic rendering of dental implants abutments [9] or prosthetic  
33 cornea backplates [10], for the creation of jewels with innovative designs [11]. Oxidized titanium is  
34 also used for artistic paintings [12].

35 Titanium oxidation can be forced through various techniques such as heating [13], pulsed [14,15]  
36 or continuous [16] laser irradiation, anodizing [1,4–6,8,9,17,18], micro-arc oxidation (also called  
37 plasma electrolytic oxidation or anodic spark deposition) [3,7,19,20] or even mixed methods [21].  
38 The present paper will focus on anodizing, as it is the best-suited method to generate uniform oxide  
39 films with homogeneous interferential colors.

40 The oxide thickness can be characterized through numerous techniques [8,16,22–31]. Anodized  
41 titanium oxide thickness generally lies in the range [10 nm – 300 nm] and the oxide layer is  
42 homogeneous enough to generate reflectance spectra which shape (in or close to the visible  
43 wavelengths range) is dominated by the interference phenomenon occurring inside the thin oxide  
44 layer. Thus, the reflectance spectrum local extrema positions can be linked to the oxide layer  
45 thickness. Note that this method implies to know the oxide (and in some cases the substrate)  
46 refractive index. Even though this method is widely used for oxide thickness determination, very few  
47 papers take into account the electromagnetic phase-shift which occurs at the reflection on the  
48 air/oxide and oxide/metal interfaces when establishing the formula linking the positions of the  
49 extrema to the oxide thickness. Bartlett [27], Di Quarto et al. [32], Sharma et al. [33], Karambakhsh

50 et al. [34], Manjaiah et al. [35], Balaji et al. [36], Van Gils et al. [37], Ling Yang et al. [38] and  
51 Diamanti et al. [39] neglect this phase-shift, whereas Fuhrman et al. [40] mention it but don't give a  
52 detailed calculation of its theoretical value. Charlesby et al. [41] characterized anodized tantalum  
53 samples by taking into account the electromagnetic phase-shift. They developed an original method  
54 to determine experimentally at the same time the variations of the oxide refractive index with the  
55 wavelength, the oxide layer thickness, and the evolution with wavelength of the oxide/metal  
56 electromagnetic phase-shift. Winterbottom [42] gave theoretical relationships between the  
57 reflectance spectra extrema positions and the oxide layer thickness taking into account the  
58 oxide/metal electromagnetic phase-shift. He also compared oxide thickness values estimated with  
59 and without taking into account the oxide/metal electromagnetic phase-shift for various oxide/metal  
60 systems ( $\text{Cu}_2\text{O}/\text{Cu}$ ,  $\text{Fe}_2\text{O}_3/\text{Fe}$ ,  $\text{Al}_2\text{O}_3/\text{Al}$ ) and showed that the thickness estimation error can reach  
61 about 200% when the phase-shift is neglected. Pliskin [43] established theoretical formulas of the  
62 electromagnetic phase-shift for Transverse Electric (TE) and Transverse Magnetic (TM) electric  
63 field polarizations and computed phase-shift thickness correction charts for various systems as for  
64 example silicon dioxide ( $\text{SiO}_2$ )/Aluminum,  $\text{SiO}_2$ /Chromium, Alumina/Germanium, etc... with the  
65 refractive indexes of the different materials extracted from the literature.

66 Some papers concluded of a good agreement between oxide thickness determination from  
67 reflectance spectra extrema without taking into account the phase-shift and other techniques such as  
68 ellipsometry [8,37], Auger electron spectroscopy [38] or Transmission electron microscopy (TEM)  
69 images of sample lamellae cut out with a Focused ion beam (FIB) [27]. Nevertheless, Diamanti et al.  
70 [8] and Van Gils et al. [37] didn't take the same oxide layer refractive index for the reflectance  
71 extrema oxide thickness determination as this obtained through ellipsometry. Ling Yang et al. [38]  
72 took an average value extracted from the literature of 2.4 for the oxide layer refractive index, and  
73 Bartlett [27] took different values of the oxide layer refractive index of either 2.33 or 2.37 when  
74 performing the thickness comparisons with the TEM images. In the present paper, the oxide

75 thickness values obtained from the reflectance spectra extrema have been compared with thicknesses  
76 obtained with ellipsometric measurements. To ensure a correct estimation of the error on the oxide  
77 thickness determination when neglecting the electromagnetic phase-shift, the refractive index values  
78 used for the reflectance extrema oxide thickness determinations correspond to the refractive index  
79 values measured through ellipsometry on the same samples. Also, as oxide thicknesses determined  
80 from reflectance extrema and ellipsometry are based on the same refractive indexes, direct electronic  
81 imaging of foils cut out with a FIB has been performed to validate the oxide thickness estimations.  
82 By studying the discrepancy observed in the literature for the refractive indexes of titanium and  
83 anodically grown (in conditions similar to these of the present study) titanium oxide layers, the error  
84 induced on the oxide thickness when neglecting the electromagnetic phase-shift is compared to the  
85 error induced by the uncertainty on the refractive indexes.

86 The paper is organized as following. It first recalls the formulas giving the oxide thickness from  
87 reflectance maxima and minima positions for non-polarized light with and without taking into  
88 account the electromagnetic phase-shift. After a presentation of the experimental conditions, the  
89 results are exposed. The oxide thickness values obtained from the ellipsometric measurements, the  
90 electronic images of FIB lamellae and the reflectance spectra extrema with and without considering  
91 the interfaces electromagnetic phase-shift are presented. The last part of the paper is dedicated to  
92 discussions of the results.

93

## 94 **2. Theory: computing oxide thickness from reflectance spectra extrema positions**

95 In this section, we will recall the formulas giving the oxide thickness from the reflectance spectra  
96 extrema positions in the case of non-polarized light. The formulas will be given in two different  
97 cases: when the electromagnetic phase-shift which occurs at the reflection on the air/oxide and  
98 oxide/metal interfaces is taken into account and when this phase-shift is neglected. The theory  
99 establishing these formulas is described in details in [44]. The material is considered here as a

100 homogeneous semi-infinite titanium (Ti) substrate with a refractive index  $n_{Ti}$  covered by a  
 101 homogeneous titanium dioxide (TiO<sub>2</sub>) layer of refractive index  $n_{TiO_2}$  (see Figure 1). A light ray is  
 102 incident on the material with an incidence angle  $\theta_i$ . This ray is then split into two parts: one is  
 103 reflected at the Air/TiO<sub>2</sub> interface and the other one is refracted inside the TiO<sub>2</sub> layer with an angle  
 104  $\theta_r$  given by the relationship

$$105 \quad \cos(\theta_r) = \sqrt{1 - \left(\frac{\sin(\theta_i)}{n_{TiO_2}}\right)^2}$$

106 The interference between these two rays is the origin of the extrema of the reflectance spectra.

107

108 **Figure 1:** Model material considered for the estimation of the oxide layer thickness  $e$  from the  
 109 reflectance spectra extrema: a homogeneous semi-infinite titanium (Ti) substrate with a refractive  
 110 index  $n_{Ti}$  covered by a homogeneous titanium dioxide (TiO<sub>2</sub>) layer of refractive index  $n_{TiO_2}$ . A light  
 111 ray is incident on the material with an incidence angle  $\theta_i$ . This ray is then split into two parts: one is  
 112 reflected at the Air/TiO<sub>2</sub> interface and the other one is refracted inside the TiO<sub>2</sub> layer with an angle  
 113  $\theta_r$ .  $\Phi_{TiO_2}^P$  and  $\Phi_{Ti}^P$  are the phase-shifts undergone by the electric field of polarization  $\mathbf{P} = \mathbf{TE}$  or  $\mathbf{TM}$   
 114 respectively at the Air/TiO<sub>2</sub> interface and at TiO<sub>2</sub>/Ti interface.

115 When the interfaces phase-shift is taken into account, the formulas giving the oxide thickness  $e$   
 116 from the reflectance spectra minima and maxima positions are the followings, in the case of non-  
 117 polarized light:

$$\left\{ \begin{array}{l} \text{for a wavelength position } \lambda_{max} \text{ of a maximum:} \\ e = \frac{\lambda_{max}}{4\pi n_{TiO_2} \cos(\theta_r)} \times [-\Phi + 2m\pi] \quad m \in \mathbb{N}^* \\ \text{for a wavelength position } \lambda_{min} \text{ of a minimum:} \\ e = \frac{\lambda_{min}}{4\pi n_{TiO_2} \cos(\theta_r)} \times [-\Phi + (2m + 1)\pi] \quad m \in \mathbb{N} \end{array} \right. \quad (1)$$

118 where  $\Phi$  is the average phase-shift at the reflection on the Air/TiO<sub>2</sub> and TiO<sub>2</sub>/Ti interfaces for non-  
 119 polarized light. These formulas assume that the oxide layer isn't absorber, that is that  $n_{TiO_2}$  doesn't  
 120 have an imaginary part.  $m$  is the interference order.  $\Phi$  is given by the relationship:

$$\Phi = \frac{1}{2}(\Phi_{Ti}^{TE} - \Phi_{TiO_2}^{TE}) [2\pi] + \frac{1}{2}(\Phi_{Ti}^{TM} - \Phi_{TiO_2}^{TM}) [2\pi], \quad (2)$$

121 with  $\Phi_{TiO_2}^P$  and  $\Phi_{Ti}^P$  the phase-shifts undergone by the electric field of polarization  $P = TE$  or  $TM$   
 122 when reflected respectively at the Air/TiO<sub>2</sub> interface and at the TiO<sub>2</sub>/Ti interface. These quantities  
 123 are defined as:

$$\begin{cases} \Phi_{TiO_2}^P = \arg(r_{1-2}^P) \\ \Phi_{Ti}^P = \arg(r_{2-3}^P) \end{cases}, \quad (3)$$

124 where  $r_{1-2}^P$  and  $r_{2-3}^P$  are the amplitude Fresnel coefficients (see chap. I of [45]) for an  
 125 electromagnetic field of polarization  $P$  reflected respectively at the Air/TiO<sub>2</sub> interface and at the  
 126 TiO<sub>2</sub>/Ti interface. As we neglect a possible imaginary part of  $n_{TiO_2}$ ,  $\Phi_{TiO_2}^P$  is equal to 0 or  $\pi$ ,  
 127 depending on the light polarization and on the light incidence angle. The value of  $\Phi$  computed in  
 128 Equation (2) is an average over the phase-shift for TE and TM polarizations. This equation remains  
 129 strictly valid in the case where the TE and TM components of the non-polarized incident light keep  
 130 the same amplitude after reflection. This is particularly not the case close to the Brewster angle of the  
 131 air/TiO<sub>2</sub> interface, which is of about 70°. A systematic study of the validity of Equation (2) as a  
 132 function of the incidence angle is beyond the scope of this paper. Nevertheless, to ensure a correct  
 133 validity of this equation, the highest value of the incidence angle has been limited to 45° in the  
 134 present study. Typical values of  $\Phi$  for the samples studied here lie between 89° for a wavelength of  
 135 350 nm and 36° for a wavelength of 800 nm.

136 When the interfaces phase-shift is neglected, that is when  $\Phi = 0$ , the formulas giving the oxide  
 137 thickness  $e$  from the reflectance spectra minima and maxima positions are the followings:

$$\begin{cases} \text{for a wavelength position } \lambda_{max} \text{ of a maximum:} \\ e = \frac{2m\pi \times \lambda_{max}}{4\pi n_{TiO_2} \cos(\theta_r)} \quad m \in \mathbb{N}^* \\ \text{for a wavelength position } \lambda_{min} \text{ of a minimum:} \\ e = \frac{(2m+1)\pi \times \lambda_{min}}{4\pi n_{TiO_2} \cos(\theta_r)} \quad m \in \mathbb{N} \end{cases} \quad (4)$$

138 The oxide thickness won't be perfectly constant on real samples: equation (1) and (4) will thus give  
 139 an average value of the oxide layer thickness on the illuminated area of the sample.

### 140 3. Experimental details

#### 141 3.1. Samples preparation

142 Six samples were cut out from a 1 mm thick on ASTM Grade 2 titanium sheet. Two different  
143 series of three mirror polished samples were prepared with two different roughness levels. The first  
144 mirror polished series referred to as “Alumina” has been obtained by a complete mechanical  
145 polishing. The second series designated as “Vibromet” was further polished in a vibratory polisher.  
146 All samples were polished separately, one by one. The roughness of the samples has been  
147 characterized by the  $S_a$  roughness parameter [46] defined as:

$$156 S_a = \frac{1}{A} \iint_A |Z(x, y)| dx dy,$$

148 where  $A$  is the characterized area on the sample and  $Z(x, y)$  the height of the position  $(x, y)$   
149 relatively to the average height of the area  $A$ . It corresponds to the arithmetic average of the absolute  
150 difference in height of each point compared to the mean height of the surface. The typical  
151 roughnesses of the “Alumina” and “Vibromet” series samples correspond respectively to  $S_a$   
152 parameters of about 60 nm and 15 nm. As the model material of Figure 1 assumes perfectly flat  
153 interfaces, two substrates with two different roughness levels have been prepared, in order to check a  
154 potential influence of the sample roughness on the results. The roughness of the roughest series was  
155 limited by the ellipsometry technique, which doesn’t work for too rough samples.

157 The samples were anodized in a galvanostatic regime by imposing a current density equal to 20  
158 mA/cm<sup>2</sup>. The counter electrode is circular and made of activated titanium. All experiments were  
159 performed in a 0.5 M sulfuric acid electrolytic solution (H<sub>2</sub>SO<sub>4</sub>) at room temperature. The cell  
160 potential increases gradually during the anodizing process. When the potential reaches a desired  
161 value, the current is shut down and the sample is removed from the bath. Three different maximum  
162 cell potentials values have been chosen: 10 V, 20 V and 90 V. Figure 2 is a picture of the six samples  
163 prepared in the present study. The samples exhibit different interferential colors due to different



164 oxide thicknesses. For a same maximum cell potential, the two series don't exhibit the same colors.  
165 This is due to the influence of the substrate roughness on the oxide layer growth.

166

167 **Figure 2:** Picture of the six samples considered in the present study with the value of the maximum  
168 cell potential for each sample.

## 169 **3.2. Samples characterization**

### 170 **3.2.1. Ellipsometric characterizations**

171 Ellipsometric measurements have been carried out on the anodized samples at three different  
172 angles ( $65^\circ$ ,  $70^\circ$  and  $75^\circ$ ) with a phase modulation ellipsometer, Horiba Jobin Yvon UVISSEL®. To  
173 describe the spectral behavior of the refractive indexes, the new amorphous dispersion model [47]  
174 was used for the oxide layer and the classical dispersion model [48] was used for the titanium  
175 substrate. The sample is represented as a four-layer material in the ellipsometric model, as described  
176 in Figure 3. A similar model has been used by Skrowronski et al. [49] to determine the refractive  
177 indexes and thicknesses of  $\text{TiO}_2/\text{Ti}/\text{glass}$  multilayer systems obtained by gas injection magnetron  
178 sputtering. The first layer is the titanium substrate, assumed to have an infinite thickness. The second  
179 layer is the interface between the titanium substrate and the titanium oxide layer and its thickness is  
180 denoted as  $L_1$ . This layer represents a transition layer between the substrate and the oxide, considered  
181 here as substrate "roughness" and is considered as a composite material, made of 50% Ti and 50%  
182 oxide in volume fraction. The third layer is the oxide layer which thickness is denoted as  $L_2$ . To  
183 consider a potential porosity of the oxide layer, this layer is considered as a composite material made  
184 of  $(100 - X)\%$  oxide and  $X\%$  Air in volume fraction. The fourth layer, which thickness is denoted as  
185  $L_3$ , corresponds to the oxide layer "roughness". It is modeled as a mixed material composed of 50%  
186 air and 50% oxide in volume fraction. It is important to notice that all the ellipsometric model  
187 parameters were adjusted at the same time on the six samples and for the three angles. The titanium  
188 substrate is considered the same (same refractive index) for all samples. The oxide material is

189 considered the same for all the samples. When the refractive index value drops in layer  $L_2$ , the model  
190 adjusts the porosity factor  $X$ . The refractive index of all composite layers is computed through the  
191 effective medium Bruggeman theory [50]. Note that we don't exactly compute here the refractive  
192 index of  $\text{TiO}_2$ , but the refractive index of the oxide layer, which could for example include impurities  
193 or porosities. As the ellipsometric model parameters were adjusted at the same time on the six  
194 samples, a non-zero value for  $X$  indicates a decrease of the oxide layer refractive index compared to  
195 the other samples, attributed here to an increase of the oxide layer porosity. In addition, a zero value  
196 for  $X$  doesn't mean that the oxide layer isn't porous.

197

198 **Figure 3 :** Representation of the four-layer ellipsometric model with the different layer thicknesses.

### 199 **3.2.2. Transmission electron microscope images of foils cut out with a Focused Ion** 200 **Beam**

201 Direct measurements of the thickness of the oxide layer were carried out by transmission electron  
202 microscopy, by imaging lamellae of the samples. The lamellas preparations were achieved in a Field  
203 Electron and Ion Helios 600i dualbeam (focused Gallium (Ga) ions beam and field emission gun-  
204 scanning electron microscopy electrons column) workstation. First, a Platinum (Pt) protective layer  
205 was deposited on the sample surface. Then, few microns deep cross sections were milled on both  
206 sides of the Pt deposit. The lamellas were lift out and slid on a copper grid. The lamellas were  
207 thinned with the Ga ion beam until a thickness of about 100 nm. A low kV (5 kV) cleaning was  
208 finally applied on both sides of the lamellas, in order to remove most of the surface layer damaged  
209 by the ion beam. The length of the thin part of the lamellas was of a few microns. Thereafter, inside  
210 the dualbeam, images were acquired with a Scanning Transmission Electron Microscope (STEM)  
211 detector along the thin part of the lamella. The STEM detector is made of several concentric parts.  
212 The images were acquired with the intermediate part of the annular detector. The acceleration  
213 voltage was 30 kV.

214 **3.2.3. Reflectance characterizations**

215 The samples reflectance measurements have been carried out in the specular direction (i.e. with an  
216 observation angle equal to the incidence angle) at two different incidence angles: 15°, 45°. The  
217 measurements have been performed on a goniospectrophotometer, which is described in details in  
218 references [51,52]. This device has been designed to perform Bidirectional Reflectance Distribution  
219 Function (BRDF) measurements. However, in the present case, the samples are mirror polished and  
220 the typical angular width of their BRDF is far below the goniospectrophotometer angular resolution.  
221 The goniospectrophotometer is thus used here to measure total reflectance, that is the ratio of the  
222 total light flux reflected by the sample over the incident light flux. The reflectance measurements are  
223 performed on the wavelength range [350 nm – 800 nm] with non-polarized light. The illuminated  
224 area on the samples is elliptical and depends on the incidence angle. The minor axis of the ellipse is 1  
225 cm long, whereas the major axis has a length equal to  $1 \text{ cm} / \cos(\theta_i)$ , that is, about 1 cm for an  
226 incidence angle of 15° and about 1.4 cm for an incidence angle of 45°.

227 **4. Results**

228 **4.1. Oxide layer thickness**

229 **4.1.1. Ellipsometric characterizations**

230 The  $X$  porosity factor of the oxide layer deduced from the ellipsometric model is presented in  
231 Table 1. This factor is equal to zero for all samples anodized at maximum cell potentials of 10 V and  
232 20 V and increases for the samples anodized at maximum cell potentials of 90 V. As mentioned  
233 previously, this doesn't mean that the oxide layer of the 10 V and 20 V samples isn't porous, but this  
234 means that any residual porosity of the oxide layer is included in the oxide layer refractive index  
235 value.

236 The ellipsometric parameters  $L_1$ ,  $L_2$   $L_3$  obtained for the 6 samples considered here are presented  
237 in Table 2, as well as the total oxide thickness  $L_1 + L_2 + L_3$ , which takes into account the transition

238 layers  $L_1$  and  $L_3$ . We can observe an increase of the total oxide layer thickness with the maximum  
239 cell potential. Also, for the same maximum cell potential, the samples of the Alumina series exhibit  
240 oxide thicknesses higher than the samples of the Vibromet series, which explains the color difference  
241 observed in Figure 2, particularly for the samples anodized at maximum cell potentials of 10 V and  
242 20 V. The  $L_1$  (substrate – oxide) transition layer thickness represents between 9% to 20% of the total  
243 oxide thickness. The  $L_3$  (oxide – air) transition layer thickness represents generally between 4% to  
244 6% of the total oxide thickness, except for the 10 V anodized sample where it represents 30% of the  
245 oxide thickness.

246 **Table 1:** X porosity factor of the oxide layer deduced from the ellipsometric model.

247 **Table 2:** Ellipsometric parameters  $L_1$ ,  $L_2$ ,  $L_3$  and the total oxide layer thickness  $L_1 + L_2 + L_3$  for the  
248 different samples.  
249

250

251

#### 252 **4.1.2. Transmission electron microscope images of foils cut out with a Focused Ion** 253 **Beam**

254 FIB lamellas were prepared from two samples of the Vibromet series: the samples anodized at  
255 maximum cell potentials of 20 V and 90 V. The 10 V sample has an oxide layer too thin to be  
256 observed through this technique. STEM images of these lamellas are presented on Figure 4. On  
257 each image, 20 measurements of the oxide thickness have been performed on different positions.  
258 Figure 4 shows the averages and the standard deviations over these measurements: an oxide  
259 thickness of  $31 \pm 3$  nm has been measured on the 20 V sample, and an oxide thickness of  $163 \pm 22$   
260 nm has been measured on the 90 V sample. The thickness standard deviation represents 10% of the  
261 thickness value for the 20 V sample, and 13% for the 90 V sample. The oxide thickness  
262 measurement uncertainty is due to the uncertainty in determining the top and bottom edges of the  
263 layer on the image, as well as to oxide thickness variations inside the observed area. The oxide  
264 thickness variations are clearly visible for the 90 V sample (Figure 4 (b)). It can be also observed

265 that, in both cases, the oxide layer isn't homogeneous. Both oxide layers seem to present porosities,  
266 here shown as dark areas inside the oxide layer in these dark field images. When looking in detail at  
267 the oxide layer of the 90 V Vibromet sample, it can be observed that the layer can be split into three  
268 sublayers, with two thin homogeneous sublayers surrounding a third bigger porous one. The contrast  
269 and resolution of the images is for now too bad to estimate properly the thicknesses of these  
270 sublayers. The acquisition of new TEM images of the FIB lamellae with higher resolution are  
271 planned, to compare the thicknesses of these sublayers with the thicknesses obtained with the  
272 ellipsometric model (Table 2). Also, as observed in Table 1, the  $X$  porosity factor increases with the  
273 maximum cell potential, and thus with the time spent in the electrolytic bath. This could lead to a  
274 non-homogeneous spreading of the porosities inside the oxide layer, which is not observed in Figure  
275 4. More resolved electronic images of the FIB lamellae could reveal this phenomenon. Note that  
276 rapid Transmission Kikuchi Diffraction (TKD) analyzes have shown small islands of anatase  
277 crystalline  $\text{TiO}_2$  inside the oxide layer for the 90 V sample, whereas no crystalline islands were  
278 observed for the 20 V sample.

279  
280 **Figure 4:** STEM images of FIB lamellas cut out from the samples anodized at maximum cell  
281 potentials of (a) 20 V and (b) 90 V from the Vibromet series. The oxide thicknesses have been  
282 measured on 20 different positions on the images. The averages and the standard deviations over  
283 these measurements are shown on each figure.

#### 284 4.1.3. Reflectance measurements

285 **Figure 5** shows the spectral specular reflectances of the Vibromet series samples, at the two  
286 different incidence angles  $15^\circ$  and  $45^\circ$ . The local extrema of these reflectance spectra have been used  
287 to characterize the oxide thickness of the samples. The 10 V and 20 V samples reflectance spectra  
288 exhibit for example one local minimum at all angles, which corresponds to an interference order  
289  $m = 0$ . The 90 V sample reflectance spectra exhibit one local minimum ( $m = 1$ ) and one local  
290 maximum ( $m = 2$ ) at all angles. Note that the local extrema positions vary with the measurement  
291 angle, with a shift of the extrema positions towards the « blue wavelengths » when the angle

292 increases. The oxide thickness of one sample is computed as following: oxide thickness values are  
293 computed for all angles and all local extrema positions through equations (3) or (4), and then an  
294 average is taken over these values. The titanium and oxide layer refractive indexes at the extrema  
295 positions are extracted from the ellipsometric measurements (see Figure 6 and Figure 7 below). For  
296 both 90 V samples, which have a non-zero  $X$  porosity factor (see Table 1), the decrease of the oxide  
297 layer refractive index is taken into account through the Bruggeman [50] theory. Note that, as  
298 mentioned previously, our oxide layer thickness calculations don't take into account the imaginary  
299 part of the  $\text{TiO}_2$  refractive index, which is always smaller than  $0.1i$  (see Figure 7(b)) for all extrema  
300 positions considered here. Table 3 shows the oxide thickness of the different samples, computed  
301 from the reflectance spectra extrema, when the interfaces electromagnetic phase-shift is taken into  
302 account and when this phase-shift is neglected (see equations (3) and (4)). The values indicated in  
303 brackets in Table 3 correspond (in % relatively to the average value) to the bias corrected standard  
304 deviation over the values obtained for the different angles and the different extrema positions: this  
305 standard deviation exhibit very low values. It can be observed, particularly for the samples anodized  
306 at maximum cell potentials of 10 V and 20 V, that the oxide thickness can be the same order of  
307 magnitude as the  $S_a$  roughness parameter of the substrate (see section 3.1).  $S_a$  is measured on an area  
308 of about  $1 \text{ mm}^2$ , which corresponds to a macroscopic scale. Nevertheless, a high value of  $S_a$  doesn't  
309 prevent the oxide thickness to be locally well defined, and thus to generate the interference  
310 phenomenon leading to the reflectance spectra extrema. For example, on Figure 4(a), for the 20 V  
311 sample, the oxide layer thickness variations are lower than 3 nm, which is very low compared to the  
312  $S_a$  roughness parameter value of 15 nm for this sample. Variations in the oxide thickness in the same  
313 order of magnitude as the oxide thickness itself would also lead to a complete blurring of the  
314 interference fringes, which is not observed in Figure 5.

315

316 **Figure 5:** Measured spectral specular reflectances of the Vibromet series at the two different angles  
317  $15^\circ$  and  $45^\circ$  on the wavelength range [350 nm – 800 nm].

318

319 **Table 3:** Oxide layer thicknesses of the different samples computed from the reflectance spectra  
320 extrema positions when the interfaces electromagnetic phase-shift is considered and when this phase-  
321 shift is neglected. The values indicated in brackets correspond to the bias corrected standard  
322 deviation over the values obtained for the different angles and the different extrema positions and are  
323 computed in % relatively to the average value.  
324

## 325 5. Discussion

### 326 5.1. Comparison of the refractive indexes measured through ellipsometry and literature 327 results

#### 328 5.1.1. Titanium refractive index

329 Figure 6 presents the real and imaginary parts of the titanium refractive index on the wavelength  
330 range [200 nm – 900 nm] measured through our ellipsometric characterizations. Our measurements  
331 have been compared to four different references : Joseph et al. [29], Lynch et al. [53], Blondeau et al.  
332 [54] and Musa et al. [55]. For the refractive index real part, we observe a relatively good agreement  
333 between our measurements and these of Blondeau et al.. Other measurements from Joseph et al. and  
334 Musa et al. are relatively close to ours, but a higher discrepancy is observed with these from Lynch et  
335 al.. For the imaginary part, our measurement is not particularly close to one of the literature  
336 measurements. Joseph et al., Lynch et al. and Musa et al. are close to each other, whereas a higher  
337 discrepancy is observed with Lynch et al. Our measurement lies in-between Lynch et al. and the  
338 other ones.

339

340 **Figure 6:** (a) Real and (b) imaginary parts of Titanium refractive index on the wavelength range [200  
341 nm – 900 nm]. The results obtained by our ellipsometric measurements have been compared to four  
342 different references : Joseph et al. [29], Lynch et al. [53], Blondeau et al. [54] and Musa et al. [55].  
343

344 The samples studied by Joseph et al. and Blondeau et al. are close to our experimental conditions,  
345 which explains that their refractive indexes are close to ours. Joseph et al. characterized through

346 ellipsometry 99.9% pure titanium samples covered with anodically grown oxide films. Blondeau et  
347 al. fit reflectance spectra of a set of titanium samples (no more information is given on the raw  
348 material in reference [54] but another publication by the same team [56] mention 99.7% pure  
349 titanium) anodized in sulfuric acid at different voltages. The samples studied by Musa et al. and  
350 Lynch et al. aren't anodized ones. Musa et al. measured through ellipsometry the refractive index of  
351 99.9% pure titanium samples. The measurements are performed in air and might be influenced by a  
352 potential oxide layer at the samples surface. Lynch et al. characterized samples, which were spark cut  
353 from polycrystalline ingots (no more details are given on the material type). They employed two  
354 different techniques to measure the optical properties of the samples : reflectance measurements and  
355 a calorimetric technique [57]. The real and imaginary parts of the titanium refractive index are then  
356 deduced thanks to a Kramers-Krönig analysis [58,59], which might explain the higher discrepancy  
357 observed between their measurements and the other ones extracted from the literature. Note that their  
358 samples are exposed to air for 2-3 min, but no correction has been made to take into account a  
359 potential oxide layer at the surface sample.

### 360 **5.1.2. Oxide layer refractive index**

361 The oxide layer refractive indexes obtained from the ellipsometric measurements are compared to  
362 literature values for titanium samples anodized in conditions similar to ours. Figure 7 presents the  
363 real and imaginary parts of the oxide layer refractive index on the wavelength range [200 nm – 900  
364 nm]. Two different cases are presented. The first one, with the  $X$  porosity factor of the oxide layer  
365 equal to 0% corresponds to all samples anodized at 10 V and 20 V. The second one, with  $X = 13\%$ ,  
366 corresponds to the 90 V anodized sample from the Alumina series (see Table 1). Our measurements  
367 have been compared to different references: Joseph et al. [29], the two different results presented by  
368 Van Gils et al. in [37] for samples anodized at 10 V and 80 V, the two different results presented by  
369 Blondeau et al. in [60] (for the case of titanium samples anodized in 0.5 M  $H_2SO_4$ ) and attributed to  
370 amorphous and anatase  $TiO_2$ , and the two different results presented by Diamanti et al. in [8] for



371 samples anodized at 60 V and 90 V. The principal preparation conditions of the samples which oxide  
372 layer refractive index values are presented in Figure 7 are summarized in Table 4.

373 **Table 4:** Principal preparation conditions for the samples which oxide layer refractive index values  
374 are presented in Figure 7.

375  
376 **Figure 7:** (a) Real and (b) imaginary parts of oxide layer refractive index on the wavelength range  
377 [200 nm – 900 nm]. The results obtained by our ellipsometric measurements with two different  
378 values of the oxide layer  $X$  porosity factor (0% and 13%) have been compared to different references  
379 : Joseph et al. [29], the two different results presented by Van Gils et al. in [37] for samples anodized  
380 at 10 V and 80 V, the two different results presented by Blondeau et al. in [60] (for the case of  
381 titanium samples anodized in 0.5 M H<sub>2</sub>SO<sub>4</sub>) and attributed to amorphous and anatase TiO<sub>2</sub> and the  
382 two different results presented by Diamanti et al. in [8] for samples anodized at 60 V and 90 V.  
383 Diamanti et al. and Van Gils et al. didn't take into account the imaginary part of the oxide layer  
384 refractive index.

385 For the refractive index real part, our results lie in between Diamanti et al. and the 10 V sample of  
386 Van Gils et al. Our case  $X = 0\%$  is close to the anatase case of Diamanti et al. in the wavelength  
387 range [380 nm – 500 nm]. Most references observe a global decrease of the oxide layer refractive  
388 index real part with wavelength. For the refractive index imaginary part, our results are lower than  
389 the other references, with an imaginary part equal to zero for wavelengths higher than 400 nm. We  
390 observe only a slight influence of  $X$  on the refractive index imaginary part. All references have  
391 almost the same global shape.

392 Contrary to references [8,37,60] and the present work, Joseph et al. [29] presented a unique  
393 refractive index value for all their samples. They actually compared the refractive index values  
394 obtained first by using only the eight thinnest films and then by using only the eight thickest films:  
395 the results obtained are identical, meaning that, contrary to other publications they didn't observe an  
396 influence of the anodizing voltage on the oxide layer refractive index. Note that their maximum  
397 anodizing voltage (40 V) is lower than other publications. The refractive index measured by Joseph  
398 et al. is higher than these of the present study. Contrary to the present work, their samples were  
399 anodized using a mixed method of galvanostatic control and then potentiostatic control for a period  
400 of 30 min. In the present case, the anodizing process is stopped just after the galvanostatic growth,

401 which could lead to a more porous oxide layer. The STEM images of Figure 4 tend to show that the  
402 oxide layer of the present study is porous, which confirms this assumption.

403 Blondeau et al. [60] also get refractive indexes higher than the present study. Their samples were  
404 anodized under either potentiostatic conditions for anodizing voltages lower than 50 V or  
405 galvanostatic conditions otherwise, as explained in [56]. Again, the potentiostatic anodizing could  
406 explain that Blondeau et al. obtained less porous oxide layers than the present study. Blondeau et al.  
407 showed with electron diffraction characterizations, that the oxide films obtained at voltages higher  
408 than 50 V correspond to well-crystallized anatase TiO<sub>2</sub>. However, for lower voltages, the oxide films  
409 exhibited only a short-range crystalline order, and are thus considered as “quasi-amorphous”. This is  
410 accordance with the TKD analyzes of our FIB lamellae where small islands of anatase crystalline  
411 TiO<sub>2</sub> have been observed inside the oxide layer for the 90 V sample, whereas no crystalline islands  
412 were observed for the 20 V sample. Blondeau et al. computed two different oxide layer refractive  
413 index values, one for the “amorphous” sample set (anodizing voltage in the range [1 V – 48 V]), and  
414 the second one for the “anatase” sample set (anodizing voltage in the range [54 V – 98 V]). Contrary  
415 to what have been observed for example by Bendavid et al. [61] for TiO<sub>2</sub> thin films deposited by  
416 filtered arc deposition showing that anatase has the refractive index higher than amorphous TiO<sub>2</sub>, the  
417 anodic anatase containing oxide studied by Blondeau et al. exhibits a refractive index lower than  
418 their anodic amorphous oxide. This leads to believe that Blondeau et al. samples anodized at higher  
419 voltages have a more porous oxide layer than those anodized at lower voltages. This is in accordance  
420 with our observations of an increase of the porosity factor  $X$  of our ellipsometric model for samples  
421 anodized at 90 V (see Table 1).

422 The anodizing conditions of Diamanti et al. [8] are similar to these of the present study  
423 (galvanostatic process with a current density of 20 mA/cm<sup>2</sup>), which is coherent with the fact that  
424 their oxide layer refractive index values are the closest to ours. The refractive index values measured  
425 by Diamanti et al. remain nevertheless globally higher than the present study. X-ray diffraction

426 characterizations showed that the oxide layer is amorphous for the 60 V anodized sample, whereas it  
427 exhibited an anatase phase in the case of the 90 V anodized sample, which is again in accordance  
428 with the observations of the present study. As observed by Blondeau et al., the oxide layer refractive  
429 index of the sample anodized at a high voltage is lower than the oxide layer refractive index of the  
430 sample anodized at a lower voltage, suggesting again that the oxide layer porosity increases with the  
431 maximum cell voltage.

432 Van Gils et al. [37] computed the oxide layer refractive index for eight anodizing voltages  
433 between 10 V and 80 V. Their samples were anodized galvanostatically, with current densities of 10  
434 mA/cm<sup>2</sup> for maximum cell voltages below 30 V and 20 mA/cm<sup>2</sup> for maximum cell voltages above 30  
435 V. Figure 7 represents the extreme cases 10 V and 80 V, the refractive index values for intermediate  
436 anodizing voltages lying in-between, with a global increase of the refractive index with the anodizing  
437 voltage. This observation is in contradiction with the decrease of the oxide layer refractive index  
438 observed by Blondeau et al., Diamanti et al. and the present work when increasing the maximum cell  
439 voltage. A high discrepancy between the two different cases presented by Van Gils et al. is observed,  
440 with very low values for their 10 V anodized sample. Van Gils et al. relate this low refractive index  
441 to the formation of a microporous structure due to gas evolution during the anodizing process for a  
442 low anodizing voltage. Note that their 15 V anodized sample exhibits a higher oxide layer refractive  
443 index, lying in-between our values for  $X = 0\%$  and  $X = 13\%$ , which leads to believe that the low  
444 current density (10 mA/cm<sup>2</sup>) isn't the origin of the low oxide layer refractive index value of the 10 V  
445 sample. Their 80 V anodized sample has an oxide layer refractive index comparable to Joseph et al.,  
446 Blondeau et al. and Diamanti et al..

## 447 **5.2. Estimation of the porosity of the oxide layer**

448 The porosity of the oxide layers of the 20 V and 90 V Vibromet samples has been evaluated from  
449 the FIB lamellae images. The dark areas inside the oxide layer (see Figure 4) are considered as  
450 porosities. The porosity is computed as the ratio of the cyan areas of Figure 8 over the total area of

451 the oxide layer, which boundaries are represented with a magenta line in Figure 8. The porosity thus  
452 obtained is 16% for the 20 V Vibromet sample and 12% for the 90 V Vibromet sample. Note that  
453 these values are only rough estimates of the porosity, as they are influenced by the image contrast.  
454 Particularly for the 90 V Vibromet sample, the low image contrast makes it difficult to properly  
455 select the dark areas inside the oxide layer, leading to an underestimation of the porosity. We can  
456 thus conclude that the oxide layer porosity of the 20 V and 90 V Vibromet samples are in the same  
457 order of magnitude of about 15%. This is in accordance with the ellipsometric results, where the  $X$   
458 porosity factor was also in the same order of magnitude for these samples (respectively  $X = 0\%$  and  
459  $X = 2\%$  for the 20 V and 90 V Vibromet samples). Electronic images of FIB lamellae of the  
460 Alumina series samples are planned to confirm the good agreement between the porosity extracted  
461 from the FIB lamellae images and the  $X$  porosity factor. The Alumina 90 V sample would be  
462 particularly interesting for these observations, as it has an  $X$  porosity factor higher than the other  
463 samples. Note that the absolute value of the  $X$  parameter of the ellipsometric model is not  
464 comparable to the porosity estimated from the electronic images. The refractive index of layer  $L_2$  in  
465 the ellipsometric model is indeed an effective refractive index, average over all components of layer  
466  $L_2$  ( $\text{TiO}_2$ , porosity, impurities...). The  $X$  parameter is thus an estimation of the relative porosity  
467 between the different samples, as the refractive index of layer  $L_2$  is estimated for all samples at the  
468 same time. Different absolute values for  $X$  could thus lead to the same correct oxide layer  
469 thicknesses, as long as the effective refractive index of the different layers is correctly estimated in  
470 the ellipsometric model.

471

472 **Figure 8** : The same STEM images as on Figure 4 where are indicated in magenta the boundaries of  
473 the oxide layer where the porosity is computed and in cyan the areas considered as porosities. (a) FIB  
474 lamella of the 20 V Vibromet sample, (b) FIB lamella of the 90 V Vibromet sample.

475

476 To check if the relatively low value of the oxide layer refractive index measured by ellipsometry  
477 in the present study could be explained by the porosity of the oxide layer, a second estimation of the

oxide layer porosity has been made. This estimation assumes that the difference between the maximum value of the oxide layer refractive index real part extracted from the literature and the refractive index real part measured by ellipsometry in the case  $X = 0\%$  is due to air inclusions in the oxide layer. The wavelength range [400 nm – 900 nm] has been considered, as the oxide layer refractive index is almost constant in this wavelength range (see Figure 7). The amorphous case of Blondeau et al. [60] exhibits the highest values in this wavelength range, with an average value of the oxide layer refractive index real part of 2.52. The oxide layer refractive index real part measured by ellipsometry in the case  $X = 0\%$  has an average value of 2.12 in wavelength range [400 nm – 900 nm]. By using the Bruggeman theory, such a refractive index decrease corresponds to a porosity of 26%, which is higher than the porosity estimated from the FIB lamellae images. The refractive index difference between the case  $X = 0\%$  of the present work and Blondeau et al. amorphous case is thus only partly explained by the oxide layer porosity. Differences in the intrinsic properties of the oxide such as stoichiometry, impurities...should also be taken into account. The porosity deduced from the FIB lamellae images could also be underestimated due to insufficient contrast and/or resolution of the images. The influence of the ellipsometric model on the oxide layer refractive index should also be investigated. The oxide layer refractive index considered here corresponds indeed to the “central” part of the oxide layer ( $L_2$  layer of the ellipsometric model), which could be “artificially” modified by the presence of the “roughness” layers  $L_1$  and  $L_3$ .

### 5.3. Comparison of the oxide thickness values obtained through the different techniques

Figure 9 presents the relative variations (in %) between the oxide thickness values estimated from the reflectance spectra extrema with and without taking into account the phase-shift and, first, the average oxide thickness measured by ellipsometry and evaluated as  $L_2 + (L_1 + L_3)/2$ , and, second, the oxide thickness evaluated from the FIB lamellae images, have been computed. The relative variation  $E$  is here computed as the ratio of the bias corrected standard deviation over the

502 average value converted in %, which gives, in the case where we have only two different oxide  
503 thickness values:

$$E(\%) = 100 \times \frac{\sqrt{(e_1 - \bar{e})^2 + (e_2 - \bar{e})^2}}{\bar{e}}, \quad (5)$$

504 where  $e_1$  and  $e_2$  are the two different thickness values considered to compute the variation and  $\bar{e} =$   
505  $\frac{e_1 + e_2}{2}$ .

506 **Figure 9** : Relative variation in % between the oxide thickness values estimated from the reflectance  
507 spectra extrema with and without considering the electromagnetic phase-shift and, first, the average  
508 oxide thickness measured by ellipsometry and evaluated as  $L_2 + (L_1 + L_3)/2$ , and, second, the  
509 oxide thickness evaluated from the FIB lamellae images.  
510

511 The relative variations are lower than 10% for all samples in the case where the phase-shift is  
512 considered. In the case where the phase-shift is neglected, the relative variations are higher than 20%  
513 for the samples anodized at maximum cell potentials of 10 V and 20 V, which have oxide  
514 thicknesses lower than about 50 nm. This is due to an overestimation of the oxide thickness when the  
515 phase-shift is neglected. The relative variations are lower than 10% for the samples anodized at a  
516 maximum cell potential of 90 V. Note that despite the difference in roughness between the Alumina  
517 and Vibromet series, the same behavior of the relative variation with the maximum cell potential is  
518 observed. Particularly, the relative variation is the same for the Vibromet 20 V and the Alumina 10 V  
519 samples, which have the same oxide thickness.

520 It is thus observed that the error on the oxide thickness estimation made when neglecting the  
521 electromagnetic phase-shift is higher for thinner oxide layers. A more systematic study of this error  
522 as a function of the oxide thickness is presented in the next section.

#### 523 **5.4. Error on the oxide thickness value when neglecting the electromagnetic phase-shift**

524 Figure 10 shows comparisons between the relative errors on the oxide thickness (determined  
525 from the reflectance spectra extrema) from different origins. The relative error is computed as  
526 presented in equation (5). The first origin of the relative error considered here is the electromagnetic

527 phase-shift. In this case, we observe that the relative error increases rapidly for small oxide  
528 thicknesses (typically below 50 nm). As observed on equation (1), two terms contribute to the oxide  
529 thickness computation. The first one is linked to the interfaces phase-shift and the second one to the  
530 interference order. Thick oxide thicknesses correspond to high interference orders. As the interfaces  
531 phase-shift doesn't depend on the interference order, the contribution of the first term decreases with  
532 the oxide thickness, explaining the decrease of the relative error with the oxide thickness. As the  
533 oxide thickness determination from the reflectance spectra extrema implies to know the oxide (and  
534 when the electromagnetic phase-shift is considered also the titanium) refractive index(es), the  
535 relative errors on the oxide thickness due to variations of the oxide refractive index real part as well  
536 as the real and imaginary parts of the titanium refractive index have been computed. By looking at  
537 the titanium and the oxide layer refractive indexes values found in the literature (see Figure 6 and  
538 Figure 7), an estimation of the maximum uncertainty on the refractive indexes has been computed. A  
539 wavelength range of [350 nm – 600 nm] has been chosen to include the positions of all extrema.  
540 Over this wavelength range, the relative variations of the titanium refractive index real and  
541 imaginary parts as well as the relative variations of the oxide layer refractive index real part have  
542 been computed for each wavelength. Then an average of these relative variations over the  
543 wavelength range [350 nm – 600 nm] is taken. The relative variations are computed the same way as  
544 for the relative error  $E$ , as the ratio of the bias corrected standard deviation over the average value.  
545 We then obtain relative variations respectively of 13%, 9% and 11% for the oxide layer refractive  
546 index real part, the titanium refractive index real part and the titanium refractive index imaginary  
547 part. A common value of 13% has then been chosen for all refractive index relative variations. The  
548 oxide layer thickness of all samples have been then recomputed for two different values of the  
549 various refractive indexes corresponding to a relative variation (as computed from equation (5)) of  
550 13%, that is for refractive index values of  $1.092n$  and  $0.908n$ , where  $n$  designates the refractive  
551 index value used for the oxide thicknesses computed in Table 3. The relative error on the oxide

552 thickness is then computed from these two oxide thickness values. Note that all refractive indexes  
553 (real part of the oxide layer refractive index, real part of the titanium refractive index and imaginary  
554 part of the titanium refractive index) have been made varied separately.

555

556 **Figure 10** : Relative error on the oxide thickness determined from the reflectance spectra extrema in  
557 % as a function of the oxide thickness : (blue color) when neglecting the electromagnetic phase-shift,  
558 (red color) for a relative oxide layer refractive index real part variation of 13%, (green color) for a  
559 relative oxide layer refractive index real part variation of 7%, (magenta color) for a relative Ti  
560 refractive index real part variation of 13%, (cyan color) for a relative Ti refractive index imaginary  
561 part variation of 13%. The relative error is computed for all samples and power fit curves have been  
562 added to show the evolution of the relative error with the oxide thickness.

563

564 Figure 10 shows that the error on the oxide thickness due to the uncertainty on the real and  
565 imaginary parts of the titanium refractive index is negligible compared to the error due to the  
566 electromagnetic phase-shift. Considering the error coming from the oxide layer refractive index real  
567 part, this error is negligible compared to the error due to the electromagnetic phase-shift for oxide  
568 thicknesses below 50 nm. For higher oxide thicknesses, the error coming from the oxide layer  
569 refractive index real part is predominant. Nevertheless, when considering a relative uncertainty on  
570 the oxide layer refractive index real part of 7% (half of the literature observed variations), the error  
571 coming from the electromagnetic phase-shift remains predominant until oxide thicknesses of about  
572 140 nm.

## 573 **6. Conclusion**

574 The present paper estimates the oxide thickness of anodized titanium samples with three different  
575 techniques: ellipsometry, FIB lamellae images and reflectance spectra extrema. . After presenting the  
576 theoretical calculation of the oxide thickness from the reflectance spectra extrema, the different oxide  
577 thickness values have been compared. In the case of the reflectance spectra extrema, when the phase-  
578 shift encountered by the electric field reflected at the air/oxide and oxide/metal interfaces is  
579 neglected, a clear overestimation of the oxide thickness is observed, particularly for samples with



580 oxide layers thinner than about 50 nm. The relative error on the oxide thickness when neglecting the  
581 phase-shift is indeed a decreasing function of the oxide thickness, with very high values (above 50%)  
582 for oxide layers thinner than about 20 nm. By studying the discrepancy observed in the literature for  
583 the titanium and oxide layer refractive indexes, this error has been compared to the error induced by  
584 the uncertainty on the oxide layer and substrate refractive indexes. The error induced when  
585 neglecting the electromagnetic phase-shift is predominant for oxide thicknesses below about 50 nm.

586 The oxide layer refractive index values measured in the present study are lower than most of the  
587 literature results. A first attempt to explain this observation has been made by estimating the oxide  
588 layer porosity from the FIB lamellae images. These preliminary results showed that porosity doesn't  
589 totally explain the low refractive index values, but further confirmations of these results are needed.

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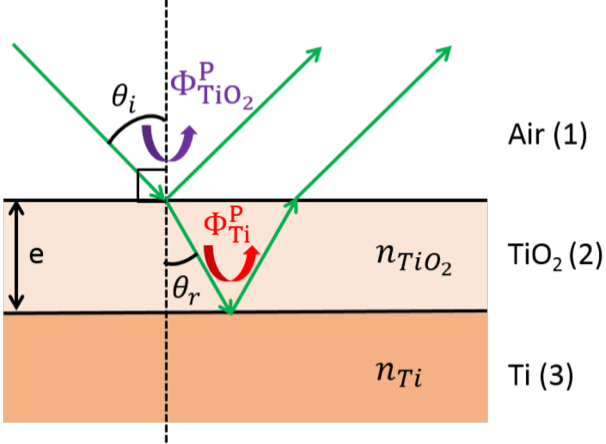
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Alumina  
10 V

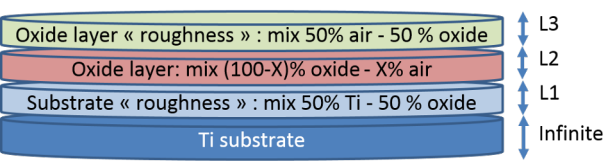
Alumina  
20 V

Alumina  
90 V

Vibromet  
10 V

Vibromet  
20 V

Vibromet  
90 V



(a)

Pt

Ti

**H** oxide layer  
 $31 \pm 3$  nm

200 nm

A high-resolution transmission electron microscopy (HRTEM) image showing a cross-section of a Pt/Ti interface. The Pt layer is on the left, and the Ti layer is on the right. A distinct layer of H-oxide is visible at the interface. The thickness of this H-oxide layer is measured as 31 ± 3 nm. A scale bar of 200 nm is provided in the bottom right corner.

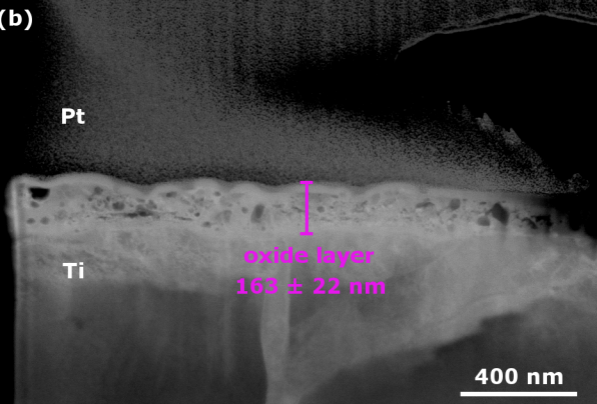
**(b)**

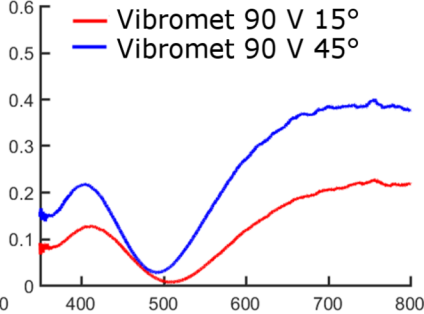
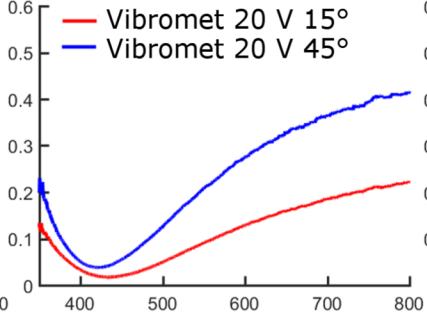
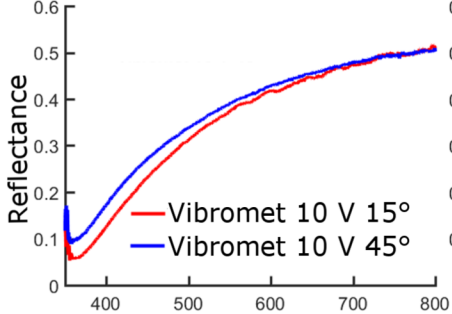
**Pt**

**Ti**

**oxide layer**  
 **$163 \pm 22$  nm**

**400 nm**

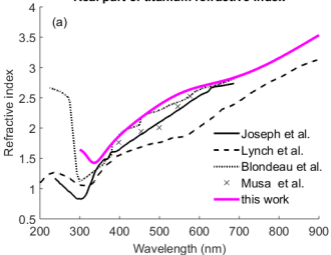




Wavelength (nm)

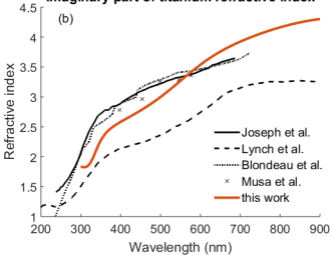
# Real part of titanium refractive index

(a)



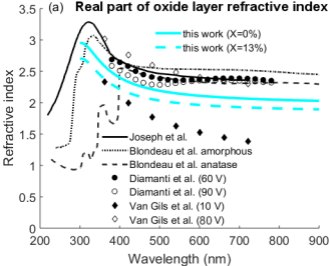
# Imaginary part of titanium refractive index

(b)

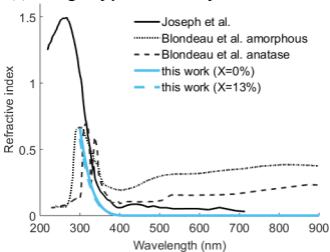


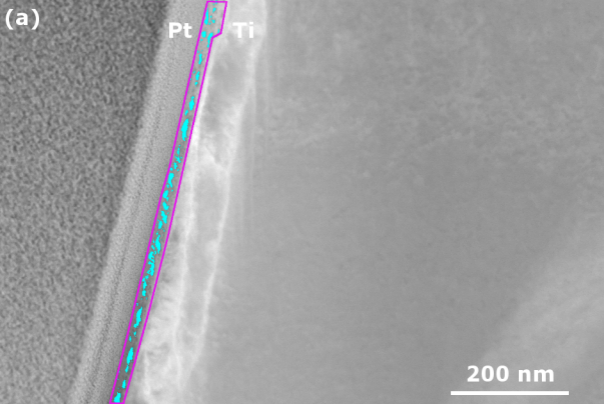


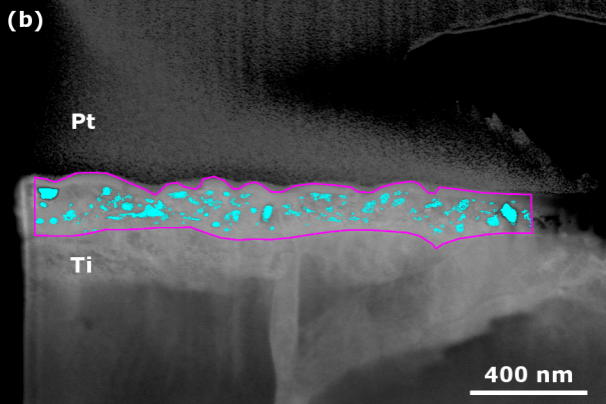
(a) **Real part of oxide layer refractive index**



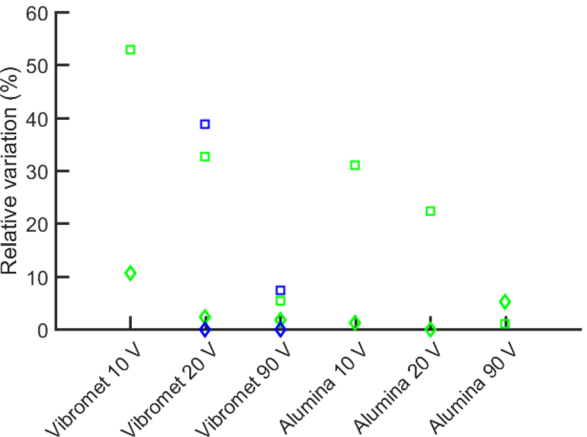
(b) **Imaginary part of oxide layer refractive index**

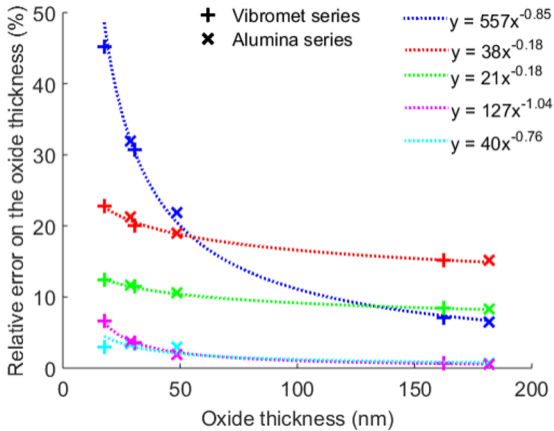






- ◇ with phase-shift and ellipsometric parameter  $L_2+(L_1+L_3)/2$
- without phase-shift and ellipsometric parameter  $L_2+(L_1+L_3)/2$
- ◇ with phase-shift and FIB lamellae imaging
- without phase-shift and FIB lamellae imaging





<b>X porosity factor</b>	<b>Max. cell potential : 10 V</b>	<b>Max. cell potential : 20 V</b>	<b>Max. cell potential : 90 V</b>
<b>Alumina series</b>	0%	0%	13%
<b>Vibromet series</b>	0%	0%	2%

	Vibromet series			Alumina series		
	10 V	20 V	90 V	10 V	20 V	90 V
$L_1$ (nm)	3	4	31	3	11	39
$L_2$ (nm)	11	27	148	27	41	172
$L_3$ (nm)	6	2	7	2	3	9
$L_1 + L_2 + L_3$ (nm)	20	33	186	32	55	220



Oxide layer thickness (nm)	Max. cell voltage: 10 V		Max. cell voltage: 20 V		Max. cell voltage: 90 V	
	phase-shift neglected	with phase-shift	phase-shift neglected	with phase-shift	phase-shift neglected	with phase-shift
<b>Alumina series</b>	46 (2%)	29 (1%)	66 (0.5%)	48 (0.5%)	199 (1.5%)	182 (1.5%)
<b>Vibromet series</b>	34 (0.5%)	18 (2%)	48 (0.5%)	31 (2.5%)	180 (1%)	163 (1%)

Reference	Raw material	Electrolyte	Anodizing voltage	Refractive index measurement technique
Joseph et al. [29]	99.9% pure titanium	1 M H <sub>2</sub> SO <sub>4</sub>	2.5 V to 40 V	ellipsometry
Blondeau et al. [62]	<i>not indicated</i>	0.5 M H <sub>2</sub> SO <sub>4</sub>	[1 V – 48 V] (“amorphous” set) [54 V – 98 V] (“anatase” set)	fit of reflectance spectra
Diamanti et al. [8]	ASTM Grade 2	0.5 M H <sub>2</sub> SO <sub>4</sub>	60 V (“amorphous” case) 90 V (“anatase” case)	ellipsometry
Van Gils et al. [37]	99.5% pure titanium	0.5 M H <sub>2</sub> SO <sub>4</sub>	10 V to 80 V	ellipsometry
present work	ASTM Grade 2	0.5 M H <sub>2</sub> SO <sub>4</sub>	10 V and 20 V ( $X = 0\%$ ) 90 V ( $X = 13\%$ )	ellipsometry